



# Tendring District Council

## Equality and Diversity Impact Assessment

# Background

## What is this tool for?

This tool will help you to assess the impact of existing or new strategies, policies, projects, contracts or decisions on residents & staff. It will help you to deliver excellent services, by making sure that they reflect the needs of all members of the community & workforce.

## How do I use the tool?

This tool is easy to use & you do not need expert knowledge to complete it. It asks you to make judgements based on evidence. The tool uses a system of red flags to give you an indication of whether or not your responses are identifying potential issues. Getting a red flag does not necessarily indicate a problem, but it does mean that your assessment is highlighting issues or gaps in data that may require further investigation or action.

## What should be equality impact assessed?

You only need to equality impact assess strategies, policies, projects, contracts or decisions that are **relevant** to equality. If you are not sure whether your activity is relevant to equality, take the 'relevance test'.

### The relevance test

Use the quick guide opposite to decide whether or not your strategy, policy, project, contract or decision related to equality:



### **Relevant**

- The strategy, policy, project contract or decision will impact on 'people' (residents, staff, or people who work in or visit the area)
- It may benefit some people or communities & not others (community cohesion)

### **Not Sure**

- The service is a support function or administrative
- There is no obvious impact on 'people' but the strategy, policy, project contract or decision is of major scale &/or significance to the Council's activities
- Insufficient evidence

### **Not relevant**

- No discernable impact on people.
- The service is a support function or administrative

If you are not sure whether your strategy, project or decision is relevant to equality, ask your Equality Service Champion in the first instance, or Amanda Cobb, the [Community Operations Manager](#) for advice, Tel: 01255 686360.

## SECTION 1 – FAST TRACK EQUALITY IMPACT ASSESSMENT



This section is used to undertake a fast track Equality Impact Assessment which is designed to identify whether there are any potential equality issues which would require any further investigation or actions to be taken.



| GENERAL INFORMATION  |  |
|--|--|
| <b>Name of strategy, policy, project, contract or decision:</b>  | <b>Tenant Participation Compact review 2011 (now referred to as Tenant Involvement Update)</b>   |
| <b>What is the overall purpose of the strategy, policy, project, contract or decision?</b>   | This is a written agreement that sets out how the Council's tenants and leaseholders can get involved and have more of a say in the way their homes and communities are managed and in the level and type of services provided |
| <b>Responsible Head of Service:</b>  | Head of Housing Services   |
| <b>Officers involved in preparing the assessment:</b>  | Tenant Relations Manager   |
| <b>Date Assessment completed</b>   | April 2011   |
| <b>Relevant</b><br><i>Follow the relevance test above and record your findings</i>   | <input type="checkbox"/> No <i>No further action required</i><br><input checked="" type="checkbox"/> Yes <i>Continue completing the form</i><br><input type="checkbox"/> Not sure <i>Contact Service Champion</i>              |
| <b>Date next review will be required</b><br><i>(For new strategies, policies, projects, contracts or decisions this should be <b>one year</b> from implementation.</i><br><i>Existing strategies, policies, projects, contracts or decisions should be reviewed every <b>three years</b> or when a change occurs)</i><br><i>The review date should be entered onto the EqIA Matrix on the intranet</i> | April 2012<br><br><input checked="" type="checkbox"/> <i>Click the box to insert a cross to indicate that you have recorded the review date</i>  |

| BACKGROUND INFORMATION  |  |  |
|---|--|--|
| 1.1   | Are other services or partners involved in the delivery of the strategy, policy, project, contract or decision?  | <input type="checkbox"/> No<br><input checked="" type="checkbox"/> Yes (Please state)<br>Members of the Tenants Panel.   |
| 1.3   | Who is intended to be affected by the strategy, policy, project, contract or decision?<br><i>(Please tick all that apply)</i>                          | <input type="checkbox"/> Officers / Members<br><input checked="" type="checkbox"/> Residents (council tenants and leaseholders)<br><input type="checkbox"/> A specific diverse group see appendix 1 <i>(Please state)</i>  |
| <b>MEASURING OUTCOMES</b> – The Council is working to achieve outcomes which provide services which do not inadvertently exclude or disadvantage any diverse groups. To ensure that this objective is achieved we need to consider the information that we are going to use to measure the outcomes and ensure that we are collecting appropriate information to enable a judgement to be made. |  |  |
| 1.4   | How do you (or how do you intend to) monitor the impact of the strategy, policy, project, contract or decision?<br><i>(Please tick all that apply)</i> | <input checked="" type="checkbox"/> Performance indicators or targets (agreed by Tenants Panel)<br><input checked="" type="checkbox"/> User satisfaction<br><input checked="" type="checkbox"/> Uptake<br><input checked="" type="checkbox"/> Consultation/Surveys or involvement<br><input checked="" type="checkbox"/> Complaints<br><input type="checkbox"/> Workforce monitoring data<br><input checked="" type="checkbox"/> External verification (benchmarking via Housemark)<br><input type="checkbox"/> Other <i>(Please state)</i><br><input type="checkbox"/> None |
| 1.5   | Do you (or do you intend to) collect this data (1.4) specifically in relation to any of the following diverse groups?                                  | <input type="checkbox"/> Age<br><input type="checkbox"/> Gender/Transgender  |

|  |   |  |
|--|---|--|
|  | <p>If <b>Yes</b> – tick the boxes of the relevant diverse group</p> <p>If <b>No</b> – continue to 1.6</p> | <p><input type="checkbox"/> Religion &amp; belief</p> <p><input type="checkbox"/> Disability</p> <p><input type="checkbox"/> Race</p> <p><input type="checkbox"/> Sexual orientation</p> <p><input type="checkbox"/> Other (<i>Please state</i>)</p> <p>Data is collected in connection with age, ethnicity and disability as part of tenancy profile but this is not specifically related to the operation of the Tenant Participation Compact. However, equalities monitoring data is collected from members of the Tenants Panel every 2 years as part of their training needs assessment.</p> <p>Profile information has also been collected as part of previous consultation exercises (ie STATUS survey)</p> |
|--|---|--|

**ANALYSING PERFORMANCE DATA** – Once the data that is to be used to measure the outcome has been defined, the information that it provides needs to be assessed to identify whether it excludes or disadvantages any diverse groups

|            |   |   |
|------------|---|---|
| <p>1.6</p> | <p>Consider the impact of the strategy, policy, project, contract or decision has already achieved, measured by the monitoring data you collect.</p> <p>Is the same impact being achieved for diverse groups as is being achieved across the whole population or workforce?</p> | <p><input checked="" type="checkbox"/> Yes</p> <p><input type="checkbox"/> No </p> <p><input type="checkbox"/> Not applicable</p> <p><input type="checkbox"/> Insufficient evidence to enable a judgement to be made </p> <p><i>Please state your evidence for this, including full documents titles and dates of publication for audit purposes. Where it has been identified that a different impact has been achieved consider whether this difference is reasonable, or further action is required to address the issue.</i></p> <p>Housing's main IT system contains fields to permit and flag up any individual tenant's needs, for example tenants who are hearing or sight impaired and / or require special arrangements for any visits to their property or contact with them.</p> <p>Our Tenant Participation Compact provides a number of measures to remove any barriers that may prevent people from getting involved</p> |
|------------|---|---|

|     |   |  |
|-----|---|--|
|     |   | <p>(helping with transport / travel costs, providing information in alternative formats etc)</p> <p>Our Tenant Participation Compact is subject to annual review by members of the Tenants Panel, who also agree performance actions and targets for the year ahead. In addition, performance is reported annually to all tenants via a dedicated tenant involvement newsletter and summarised in the annual report required by the Tenant Services Authority regulatory framework</p> <p>The Tenant Services Authority's Tenant Involvement and Empowerment Standard defines the specific outcomes and expectations in relation to tenant involvement and a need to understand and respond to the diverse needs of tenants is a cross cutting standard in their regulatory framework against which performance must be assessed and reported.</p>   |
| 1.7 | <p>Is the uptake of any services, benefits or opportunities associated with the strategy, policy, project, contract or decision generally representative of diverse groups?</p> | <p><input checked="" type="checkbox"/> Yes</p> <p><input type="checkbox"/> No </p> <p><input type="checkbox"/> Insufficient evidence to enable a judgement to be made </p> <p><i>Please state you evidence for this, including full documents titles and dates of publication for audit purposes. Where it has been identified that the uptake is not representative of the diverse group consider whether this difference is reasonable, or further action is required to address the issue.</i></p> <p>Our Tenant Participation Strategy is published electronically and in hard copy and is available to all tenants and leaseholders. A dedicated newsletter is also being produced each year summarising performance and be sent to all tenants. Alternative formats of these documents are available on request.</p> |

**CHECKING DELIVERY ARRANGEMENTS** – The mechanism used to deliver the strategy, policy, project, contract or decision needs to consider whether it excludes or discriminates against any diverse groups.

1.8 You now need to check the accessibility of your delivery arrangements against the requirements below. Click on the hyperlinks for more detailed guidance about the minimum criteria you should meet.

*If assessing a proposed strategy, policy, project, contract or decision, indicate 'Yes' if you anticipate compliance by launch of implementation.*

|  | <b>Yes</b>                          | <b>No</b>                | <b>N/A</b>               |                                   |
|--|-------------------------------------|--------------------------|--------------------------|-----------------------------------|
| The <a href="#">premises</a> (see appendix 2) for delivery are accessible to all.                  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | (where applicable)                |
| <a href="#">ICT infrastructure &amp; software</a> (see appendix 3) is accessible to all.           | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                                   |
| <a href="#">Consultation &amp; participation</a> (see appendix 4) mechanisms are inclusive of all. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | (approved by TP Communication GP) |
| Public events & meetings avoid conflict with <a href="#">religious events</a> (see appendix 5)     | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | (dedicated checklist exists)      |

If you answered 'No' to any of the questions above please explain why, giving details of any legal justification.

**CHECKING INFORMATION & COMMUNICATION ARRANGEMENTS** – The approach used to communicate the strategy, policy, project, contract or decision needs to consider whether it excludes or discriminates any diverse groups.

1.9 You now need to check the accessibility of your information & communication arrangements against the requirements below. Click on the hyperlinks for more detailed guidance about the minimum criteria you should meet.

*If assessing a proposed strategy, policy, project, contract or decision, indicate 'Yes' if you anticipate compliance by launch of implementation.*


|  | <b>Yes</b>                          | <b>No</b>                | <b>N/A</b>               |                           |
|--|-------------------------------------|--------------------------|--------------------------|---------------------------|
| <a href="#">Images &amp; text</a> (see appendix 6) in documentation are representative & inclusive of all. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | (approved by TP Comms Gp) |
| <a href="#">Publicity campaigns</a> (see appendix 7) are inclusive of all.                                 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | (approved by TP Comms Gp) |
| Electronic, web-based & paper <a href="#">information</a> (see appendix 8) is accessible to all.           | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                           |
| <a href="#">Customer contact</a> (see appendix 9) mechanisms are access                                    | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                           |

If you answered 'No' to any of the questions above please explain why, giving details of any legal justification.

**FUTURE IMPACT –** The future impact of the strategy, policy, project, contract or decision needs to consider whether it excludes or disadvantages any diverse groups.

1.10 Think about what your strategy, policy, project, contract or decision is aiming to achieve over the long term & the ways in which It will seek to do this. In doing so, is it likely to inadvertently exclude or disadvantage any [diverse groups](#) (see appendix 1)?


*This is your opportunity to take a step back & consider the practical implementation of your strategy, policy, project, contract or decision in the future. As well as checking that people from diverse groups will not be inadvertently excluded from or disadvantaged by any proposed activities, it is also an opportunity to think about how you can maximise your impact, reach as many people as possible & really make a difference to the lives of everyone in Tendring regardless of their background or circumstances.*

- No
- Yes 
- Insufficient evidence 

*Please state any potential issues identified.*

**ANALYSIS OF EVIDENCE GATHERED**


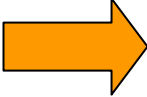
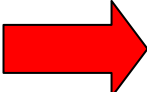
1.11 If your assessment has highlighted any potential equality issues or red flags, can these be easily addressed?

- Yes
- No 
- N/a

If yes, please describe your proposed action/s, intended impact, monitoring arrangements, implementation date and lead officer:

|      |   |   |
|------|---|---|
| 1.12 | <p>Are any issues or red flags highlighted by this assessment <b>health-related</b>?</p> <p><i>Some equality groups are disproportionately affected by health inequalities. Tendring District Council, Essex County Council and NHS North East Essex are working jointly to address this.</i></p> | <p><input type="checkbox"/> Yes*</p> <p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> N/a</p> <p>*If Yes, please email this completed assessment to <a href="mailto:ckitcher@tendringdc.gov.uk">ckitcher@tendringdc.gov.uk</a></p> |
|------|---|---|

**MAKING A JUDGEMENT – CONCLUSIONS AND NEXT STEPS**

|      |   |  |  |
|------|---|--|--|
| 1.13 | <p><input checked="" type="checkbox"/> There are no inequalities identified or none that cannot be easily addressed or legally justified</p> <p><input type="checkbox"/> There is insufficient evidence to make a robust judgement</p> <p><input type="checkbox"/> Inequalities have been identified which cannot be easily addressed</p> | <p></p> <p></p> <p></p> | <p>No further action required - implement any actions identified.</p> <p>Additional evidence gathering required. Complete Section 2 – Additional Evidence Gathering</p> <p>Action planning required – complete Section 3 and implement any actions identified.</p> |
|------|---|--|--|

## SECTION 2 – ADDITIONAL EVIDENCE GATHERING

Where the Fast Track Equality Assessment indicated that **complex issues** or **inequalities** were identified which could not be easily addressed, or you had **insufficient evidence** to make a judgement, you need to undertake additional evidence gathering & action planning process. This is described below:

(a) Gather & analyse relevant additional evidence (which may include engagement with diverse groups), to address gaps in your knowledge, enhance understanding of the issues & inform options for addressing these. Additional evidence is likely to include any or all of the following:

### Data gathering

- Demographic profiles of Tendring
- Data about the physical environment, e.g. housing market, workforce, employment, education & learning provision, transport, spatial planning & public spaces
- Results of local needs analysis
- Results of staff surveys
- Research reports on the needs/experiences of diverse groups
- National best practice/guidance
- Benchmarking with other organisations

### Consultation & involvement

- Existing consultation findings that may provide insight into the issues
- New, specially commissioned engagement with diverse groups
- Expert views of stakeholders/employers organisations representing diverse groups
- Advice from experts or national organisations
- Specialist staff/in-house expertise.



(b) For advice on evidence gathering or engagement with diverse groups please contact your Service Equality Champion. Discuss any proposed consultation with your service champion to ensure it is coordinated with related exercises across the Council as a whole.

(c) Use your evidence gathering, analysis & engagement with diverse groups to develop options for addressing inequalities or unmet need, consulting with relevant management teams/Members/strategic groups/partners where necessary to confirm proposed actions & resource issues.

(d) When options for addressing any issues are agreed, if these cannot be implemented immediately integrate them into the appropriate service plan/strategic plan/multi-agency strategy, so that it is clear how they will be delivered, when they will be delivered, by whom, & how this will be monitored.

(e) Identify how the continuing implementation & impact of the strategy, policy, project, contract or decision on diverse groups in Tendring will be monitored.

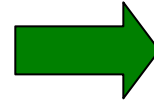
(f) Following completion of the above, please complete the questions 2.1 - 2.6:

| ADDITIONAL EVIDENCE GATHERING RESULTS |  |  |
|---------------------------------------|--|--|
| 2.1                                   | Outline the additional information you have gathered.<br><i>(Include full document titles and dates of publications for Audit purposes. Where applicable please also state the nature of any issues identified).</i>   |  |
| 2.2                                   | Where consultation has taken place, who did you consult with and how?  |  |
| 2.3                                   | Analyse the additional information that has been gather and whilst considering the questions asked in Section 1 (1.6-1.10) consider whether any of the evidence indicates that the strategy, policy, project, contract or decision is likely to inadvertently exclude or disadvantage any <a href="#">diverse groups</a> (see appendix 1). | <input type="checkbox"/> Yes <br><input type="checkbox"/> No<br><input type="checkbox"/> N/a<br><i>Please document the thought process that has been followed in forming the judgement.</i>                                     |
| 2.4                                   | If the additional evidence has highlighted any potential equality issues or red flags, can these be easily addressed?  | <input type="checkbox"/> Yes<br><input type="checkbox"/> No <br><input type="checkbox"/> N/a<br>If yes, please describe your proposed action/s, intended impact, monitoring arrangements, implementation date and lead officer: |
| 2.5                                   | Are any issues or red flags highlighted by the additional evidence gathered <b>health-related</b> ?<br><i>Some equality groups are disproportionately affected by health inequalities. Tendring District Council, Essex County Council and NHS North East Essex are working jointly to address this.</i>                                   | <input type="checkbox"/> Yes*<br><input type="checkbox"/> No<br><input type="checkbox"/> N/a<br>*If Yes, please email this completed assessment to <a href="mailto:ckitcher@tendringdc.gov.uk">ckitcher@tendringdc.gov.uk</a>  |

## RE-ASSESSMENT AFTER ADDITIONAL EVIDENCE HAS BEEN COLLECTED AND CONSIDERED

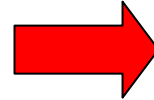
2.6

The additional evidence gathered confirmed that there are no inequalities identified that cannot be easily addressed or legally justified



No further action required - implement any actions identified.

The additional evidence gathered has confirmed that there are Inequalities which cannot be easily addressed



Action planning required – complete Section 3 and implement any actions identified.

## SECTION 3 –EQUALITY AND DIVERSITY ACTION PLAN

Detailed below are the proposed action(s) identified to address the inequality identified in the strategy, policy, project, contract or decision.

| <u>Details of the inequality identified</u> | <u>Proposed action to address the inequality</u> | <u>What are the cost/resource implications of the proposal</u> | <u>Timescale for implementation</u> | <u>Responsible Officer</u> | <u>Process for monitoring the completion of this action</u> |
|---|--|--|-------------------------------------|----------------------------|---|
|   |  |  |                                     |                            |   |
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**In order that can access these hyper links unlock the form by clicking of the padlock logo then press Ctrl and a left click on your mouse, you will then be diverted to the intranet page with the related document.**

[Appendix 1](#)

[Appendix 2](#)

[Appendix 3](#)

[Appendix 4](#)

[Appendix 5](#)

[Appendix 6](#)

[Appendix 7](#)

[Appendix 8](#)

[Appendix 9](#)

[ckitcher@tendringdc.gov.uk](mailto:ckitcher@tendringdc.gov.uk)